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## A Comparison Chart:

Oral Arguments Before The North Carolina Court of Appeals, The Supreme Court of North Carolina, and The United States Court of Appeals for the Fourth Circuit

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The Committee appreciates the advice and comments of those who use the comparison chart. Please send your suggestions to the Appellate Rules Committee, NC Bar Association, P.O. Box 3688, Cary NC 27519 or to <a href="mailto:govaffairs@ncbar.org">govaffairs@ncbar.org</a>.

This chart was first published on 28 November 2011. The latest revision date is shown on the cover page. The latest edition may also be viewed and downloaded from http://www.ncbar.org/members/committees/appellate-rules.

## **Statement of Purpose**

The North Carolina Bar Association's Appellate Rules Committee prepared this comparison chart to assist North Carolina practitioners in preparing for oral arguments. This chart is intended to provide an abbreviated reference guide for counsel already familiar generally with oral arguments before the various courts. The chart sets forth some key similarities and differences between oral arguments before the three appellate courts in which North Carolina practitioners most often appear. For more detailed information for each court, please consult:

- The <u>State</u> or <u>Federal</u> Rules of Appellate Procedure, including the Fourth Circuit's <u>Local Rule</u> 34
- The "Guides for Counsel" for cases orally argued before the North Carolina <u>Court of Appeals</u> and the <u>Supreme Court</u> of North Carolina, and
- the Fourth Circuit's Procedure Guides on <u>Pre-argument Review and Calendaring</u> and <u>Oral Argument</u>, and
- The Fourth Circuit's <u>Courtroom Protocol for Counsel</u>.

Also, be advised that in some instances, the Comparison Chart contains information and recommendations based on the opinions and experiences of the various Committee members, rather than any formal rule or policy of the appellate courts.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
Where Is The	One West Morgan	Two East Morgan	1000 East Main Street
Courthouse	Street	Street	Downtown Richmond *
Located?	Downtown	Downtown Raleigh*	
	Raleigh *		Entrances are on Bank Street and
*Please read the		(Looking south down	Main Street (Bank Street
oral argument	(Looking south down	Fayetteville Street and	entrance is next to room for oral
notice carefully.	Fayetteville Street and	away from the State	argument registration)
The courts may	away from the State	Capitol, the Supreme	
occasionally hold	Capitol, the Court of	Court Building is	
oral argument in	Appeals is located on	located on the left-	
different buildings	the right-hand side of	hand side of	
(or even different	Fayetteville Street)	Fayetteville Street)	
cities).			
	Court of Appeals	Supreme Court	Fourth Circuit Clerk's Office:
	Clerk's Office: (919)	Clerk's Office: (919)	(804) 916-2700
	831-3600.	831-5700	

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
When Does the Court Typically* Hold Oral Arguments?  *Because oral arguments are occasionally held at different location, check all	The Court of Appeals holds oral arguments year-round except from early June to approximately mid-August.  Morning sessions generally begin at 9:30 a.m. and afternoon sessions generally begin at 1:00 p.m.	The Supreme Court usually holds oral arguments from January to May and September to December.  Argument sessions at the Supreme Court usually begin at 9:30 a.m.	The Fourth Circuit holds six regular weekly oral argument sessions in Richmond per year, generally Tuesday through Friday, but sometimes Tuesday through Thursday. The Fourth Circuit also occasionally adds additional oral argument dates to its regular calendar or sits at law schools throughout the circuit.  Arguments usually begin at either 9:30 (most days), 8:30 a.m. (last day of the session), or 9:00 a.m. (en banc arguments).  Oral argument session calendars can be located on the Fourth
notifications carefully			Circuit's website.

	NC Court of Appeals	Supreme Court of	Fourth Circuit
How Will I Know Whether My Case Has Been Selected For Oral Argument?	The Clerk of the Court will email a Court Calendar/Rule 30(f) notice. Read the notice carefully, as it may state that you are to appear for oral argument, or it may state that your case will be "heard" or "decided" on a specified date, but you should not appear for oral argument.	The Supreme Court of North Carolina generally hears oral argument in all cases either selected for discretionary review or appealed as of right.	If your case is selected for oral argument, you will first receive a "Case Tentatively Calendared For Oral Argument" notice stating the session during which argument may be heard. If you have a scheduling conflict with any or all of the days during that session, file a Notice Regarding Conflict With Proposed Argument Dates within ten days.  If two or more of your cases are noticed for oral argument during the same session, inform the Clerk when you return your scheduling conflict form if you prefer to have your cases heard on the same day, on consecutive days, or with a break in-between.  You can also call a case manager for one of the cases to make sure your request is clear. The Clerk's office will attempt to accommodate your request.  If your case is not selected for oral argument, you will receive a Rule 34 pre-argument review notice stating that the case has been referred to the panel for disposition without oral argument. Sometimes the opinion will issue a few days after the Rule 34 notice.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
How Far In Advance Will I Generally Know When I Will Have To Appear For Oral Argument?	Approximately 21 to 30 days.	Approximately 30 days, and sometimes less.	Generally, at least six weeks in advance of a date certain.  However, counsel generally has an idea regarding what week oral argument will occur at least two months in advance.  At least two months before oral argument, the Fourth Circuit will issue a "Case Tentatively Calendared For Oral Argument" notice. The notice will state the court session during which the Court tentatively plans to hold oral argument in your case, without a specific day. Counsel then will have ten days to notify the Clerk's office of any scheduling conflicts before the Court selects a final oral argument date.
How Many Judges Will Be On My Panel/the Court?	En banc-the full court or "a majority of the then sitting judges on the Court of Appeals."  See N.C. Gen. Stat. § 7A-16	7	Panel- 3  En banc-All active, non-senior Fourth Circuit judges. The Fourth Circuit is authorized to have 15 active judges, and currently all seats are full. Check the Fourth Circuit's website for a current list of active judges.  Also, if a senior Fourth Circuit judge was on your initial three- judge panel, that judge will also participate in the en banc court proceedings.
Will I Know The Identity Of My Panel Before Oral Argument Day?	Yes, the panel's identity is disclosed upon notification that oral argument will be held in your case. However, last minute substitutions of panel members are possible.	Yes. The entire court sits for oral argument, unless a justice recuses.	The identity of the argument panel is not disclosed until the morning of argument. Bulletin boards located near each entrance list the judges on each panel for that day.  Also, do not be surprised if your panel has a visiting judge from a federal district court, another federal circuit, or even a retired Supreme Court justice.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
Do I Have To Inform The Court Prior To Oral Argument Who Will Be Arguing The Case?	No.	Yes. Counsel must send the clerk a letter acknowledging receipt of the oral argument notification and stating who will be orally arguing the case.  Counsel must have	Yes. After the final oral argument date is selected, Counsel must return an "Oral Argument Acknowledgement Form." That form will state who will argue the case (and in <i>criminal</i> cases, any counsel who will be present at oral argument but not arguing).  Counsel must be admitted to
Any Special Advance Requirements To Orally Argue My Case?	Counsel must have personally signed the brief. The brief can be signed at the Clerk's office the day of, but prior to, the oral argument. However, a brief electronically filed is considered personally signed.	personally signed the brief. The brief can be signed at the Clerk's office the day of, but prior to, the oral argument. However, a brief electronically filed is considered personally signed.	practice before the Fourth Circuit and have returned the Oral Argument Acknowledgement Form informing the court who will be arguing the case. It is very difficult to meet these requirements the morning of oral argument.
What Must I Bring To Gain Entry To The Courthouse?	A government-issued photo identification is required for entry into the courthouse.	A government-issued photo identification is required for entry into the courthouse.	A government-issued photo identification is required for entry into the courthouse.
In Addition To Potential Weapons (Including Pocketknives And Pepper Spray), What Items Am I Prohibited From Bringing Into The Courthouse?	No beverages or food. No cameras, unless preapproved by the Chief Judge.	No beverages or food. No cameras or tape recorders, unless preapproved by the Chief Justice.  Umbrellas left at the security desk.	Cameras, tape recorders, food, and beverages may not be brought into the courthouse.  Coffee and tea are available in a conference room on the fourth floor (Room 413). Food and beverages are not allowed elsewhere in the courthouse.  Cell phones, smart phones, tablets, Blackberrys, pagers, laptops, notebooks, netbooks, or similar functioning devices must be turned off when in a courtroom or judicial chambers and must not be used to take photographs or for audio or video recording.  Umbrellas must be left at the entrance to the building.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
What Is The	Arrive in the	Arrive in the	Counsel must register for oral
Check-In	courtroom before oral	courtroom before oral	argument in Room 222 (near the
<b>Procedure The</b>	argument begins.	argument begins.	Bank Street entrance) in the
Morning Of Oral			Richmond courthouse between
Argument?	There is a library	There is a connected	8:45 and 9:00 a.m. for arguments
	adjacent to the rear	attorneys' room	beginning at 9:30 a.m. and
	courtroom door that	through the back of	between 7:45 and 8:00 a.m. for
	attorneys can use to	the courtroom that	arguments beginning at 8:30 a.m.
	collect themselves	attorneys can use to	(generally on the last day of the
	both before and after	collect themselves	Court session).
	the argument.	both before and after	
		the argument.	Multiple counsel arguing on the
			same side must check in together
			and notify the court of the order
			in which they will argue and how
			the allotted time will be divided
			among them.

	NC Court of Appeals	Supreme Court of	Fourth Circuit
In How Many Courtrooms Are Oral Arguments Held At Any Given Time?	There is one courtroom at the Court of Appeals, which is located on the 3 <sup>rd</sup> floor.  However, the Court of Appeals occasionally holds special oral argument sessions in courtrooms in other counties, as well as in North Carolina's law schools.	Supreme Court of North Carolina  There is one courtroom at the Supreme Court, which is located on the 3 <sup>rd</sup> floor.	The Court generally uses five courtrooms located on the 2 <sup>nd</sup> , 3 <sup>rd</sup> , and 4 <sup>th</sup> floors of the courthouse. Four of the courtrooms are named for the color of their carpeting: Blue and Gold on the third floor; Tweed and Red on the fourth floor.  The <i>en banc</i> courtroom on the second floor was named the "Green" courtroom for years, due to its carpeting, but now is the "Butzner" courtroom in honor of the late Fourth Circuit Judge John D. Butzner, Jr. It is also used for arguments to panels.  The second floor also has the Tan courtroom, which is not being used as often since the third floor courtrooms became available (they previously were District Court courtrooms).  The final schedule for a session (available on the Court's website under "Oral Argument") shows which courtroom your argument will be in and the order of the arguments (panels typically hear four oral arguments per day). This schedule will not reveal the identity of the panel.  On the morning of the argument,
			will be in and the order of the arguments (panels typically hear four oral arguments per day). This schedule will not reveal the identity of the panel.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
How Much Time Is Allotted For Oral Argument Per Side?	Generally, 30 minutes per side (all parties to a side must share the time allotted for oral argument)	30 minutes per side (all parties to a side must share the time allotted for oral argument)	Most Cases—Each side is allotted 20 minutes (all parties to a side must share the time allotted for oral argument).  Social Security disability cases, Black Lung cases, and labor cases in which the primary issue is whether the agency's decision is supported by substantial evidence—Each side is allotted 15 minutes.  Criminal Cases where the primary issue is the application of sentencing guidelines—Each side is allotted 15 minutes.
			En Banc–Each side is allotted 30 minutes
Can Parties On The Same Side Represented By Different Counsel Split Oral Argument Time?	Yes	Yes	Yes, but disfavored. Local Rule 34(d) recommends that no more than two attorneys argue per side.
At Which Counsel Table Do I Sit During Oral Argument?	When facing the bench, appellant's counsel sits at the right-hand table and appellee's counsel sits at the left-hand table.  Counsel for the first case should be seated at counsel table when court convenes. After any midday or midmorning break, counsel for the next case should already be seated at counsel table when the court returns.	When facing the bench, appellant's counsel sits at the right-hand table and appellee's counsel sits at the left-hand table.  Counsel for the first case should be seated at counsel table when court convenes. After any midday or midmorning break, counsel for the next case should already be seated at counsel table when the court returns.	Attorneys may sit at either counsel table, and counsel for the first case should be seated at counsel table when court convenes. After any midmorning recess, counsel for the next case should already be seated at counsel table when the panel returns. Many panels take a short recess after the second of the morning's four arguments.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
Can I Use My Laptop at Counsel Table?	Yes, as long as the sound is turned off. The Court of Appeals has the capacity to make PowerPoint and "ELMO" presentations.	Not currently.	No. You may bring a laptop into the courthouse, but it must be turned off while in the courtroom.
What Size Papers Will Fit On The Oral Argument Podium?	Legal size papers (if in the Raleigh courtroom).	Legal size papers, but space is limited on the lectern. The lights and microphone may interfere with placement of an opened notebook or other items on the lectern.	Podiums <i>normally</i> accommodate legal size papers.
When Can I Approach The Podium And When May I Begin My Argument?	After the judges have entered the courtroom and been announced, the attorney who is arguing first may approach the podium but should not speak until the presiding judge calls the case.	After the justices have entered the courtroom and been announced, the attorney who is arguing first may approach the lectern when the Chief Justice calls the case.	Appellant's counsel should be seated and ready to go, but should not approach the podium until the presiding judge calls his case.  Also, counsel for the next case should not proceed to counsel table until after the panel concludes its greeting of prior arguing counsel and begins its return to the bench. The Court will wait for counsel to be seated for the next argument before it calls the next case.
How Do I Start My Oral Argument?	"May it please the Court."	"May it please the Court."	"May it please the Court."
How Do I Address The Members Of The Panel or Court?	Judge "X" or Your Honor * if on your panel, Chief Judge "X"	Justice "X" or Your Honor * Justice sitting in the center seat is Chief Justice "X"	"Judge 'X'" or "Your Honor" *if on your panel, "Chief Judge 'X'"
	Tip: The bench has name plates before each judge's seat.	Tip: A Supreme Court seating chart with biographies and photos is located <u>here</u> .	Tip: The bench has name plates before each judge's seat.

	NC Court of Appeals	Supreme Court of	Fourth Circuit
As The Appellant, Should I First Summarize The Facts for the Court?	The Court of Appeals encourages counsel to provide only a <i>very brief</i> summary of facts and only to the extent necessary to facilitate the Court's understanding of the issues.	North Carolina  The Supreme Court usually leaves the decision up to counsel, but counsel should keep the summary brief.	Local Rule 34(d) notes that "members of the Court hearing oral argument will have read the briefs before the hearing and therefore will be familiar with the case."  Therefore, counsel for the appellant ordinarily should not recite the facts of the case at the beginning of the argument.
If I Am The Appellant, How Do I Reserve Oral Argument Time For Rebuttal?	Counsel should tell the senior panel judge at the beginning of oral argument how much time he/she wishes to reserve for rebuttal.  Although the practice can vary by panel, there is no formal limit to the amount of time reserved for rebuttal. However, most judges prefer the parties not request more than 10 minutes for rebuttal. If appellant exceeds his/her opening argument time, then his/her rebuttal time will be reduced accordingly.  Time designated for opening argument but not used can sometimes be added to the reserved rebuttal time if permitted by the Court.	Counsel should tell the Chief Justice at the beginning of oral argument how much time he/she wishes to reserve for rebuttal.  If appellant exceeds his/her opening argument time, then his/her rebuttal time will be reduced accordingly.	The Oral Argument Acknowledgment form contains a blank for the amount of rebuttal time requested and allows appellants (and cross-appellants) to reserve up to one-third of their total time for rebuttal. When registering for argument the morning of oral argument, counsel will be asked to confirm their requests and can change the amount if desired. There is no need for counsel to tell the presiding judge while at the oral argument lectern how much time he/she has reserved for rebuttal.  Time designated for opening argument but not used in the opening cannot be added to the reserved rebuttal time.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
How Will I Know How Much Time I Have Remaining During Oral Argument	A timer on the lectern will start at 30:00 and alert counsel to the total time remaining, including any rebuttal time. Appellant's counsel must keep track of when counsel is into rebuttal time. When five minutes of total argument time remain, the green signal goes off and the yellow signal comes on. The red signal comes on when the attorney's oral argument time has ended.	North Carolina A timer on the lectern will start at 30:00 and alert counsel to the total time remaining, including any rebuttal time. Appellant's counsel must keep track of when counsel is into rebuttal time. When five minutes of total argument time remain, the green signal goes off and the yellow signal comes on. The red signal comes on when the attorney's oral argument time has ended.	The oral argument podiums have a three-light (green, yellow, and red) and digital timing system that counsel can use to monitor their argument times. The green light comes on when oral argument begins, and a digital clock counts down the argument time remaining.  When 5 minutes of argument time remain on the timer, the green signal goes off and the yellow signal comes on. The red signal comes on when the attorney's argument time has ended.  At the beginning of appellant's argument, the digital clock reflects a deduction of any rebuttal time reserved by the appellant. For example, if appellant's counsel has 20 minutes of total oral argument time and reserves 2 minutes of that time for rebuttal, the digital timer will start at 18 minutes for appellant's initial argument and the yellow light will come on 13 minutes into the argument.  During rebuttal time, the digital clock will start with appellant's reserved rebuttal time. In our example, the rebuttal timer would start at 2 minutes, and because the appellant started with 5 minutes or less of time, the yellow light would come on when the rebuttal began.

	NC Court of Appeals	Supreme Court of North Carolina	Fourth Circuit
What Cases And	Only cases or	Only cases or	No explicit restrictions in the
Other Authorities	authorities cited in one	authorities cited in one	court's rules. However, if
Can I Cite And	of the parties or	of the parties or	pertinent and significant
<b>Discuss During</b>	amicus' briefs or in a	amicus' briefs or in a	authorities are discovered after
Oral Argument?	Rule 28(g)	Rule 28(g)	submission of the briefs, as a
	memorandum of	memorandum of	courtesy to both the Court and
	additional authority.	additional authority.	opposing counsel, counsel should
			submit a Rule 28(j) letter of
	Counsel should	Counsel should	supplemental authorities prior to
	endeavor to submit,	endeavor to submit,	oral argument.
	and insure that	and insure that	
	opposing counsel	opposing counsel	
	actually receives, any	actually receives, any	
	memorandum of	memorandum of	
	additional authority	additional authority	
	prior to the day of oral	prior to the day of oral	
	argument.	argument.	
What Do I Do	Either quietly exit the	Either quietly exit the	Wait for the Fourth Circuit
When Oral	courtroom through the	courtroom through the	judges to come down from the
Argument Has	rear side door or	rear door or return to	bench to greet counsel and shake
Concluded?	return to the public	the public seating	their hands. Then while judges
	seating benches.	benches.	are returning to the bench, either
			quietly exit the courtroom or
	There is a library	There is a connected	return to the public benches
	adjacent to the rear	attorney room at the	behind the oral argument bar.
	courtroom door that	back of the courtroom	
	attorneys can use to	that attorneys can use	
	collect themselves	to collect themselves	
	both before and after	both before and after	
	oral argument.	oral argument.	

"I used to say that, as Solicitor General, I made three arguments of every case. First came the one that I planned—as I thought, logical, coherent, complete. Second was the one actually presentedinterrupted, incoherent, disjointed, disappointing. The third was the utterly devastating argument that I thought of after going to bed that night."

Resolutions in Memoriam: Mr. Justice Jackson, 99 L. Ed. 1311, 1318 (1955).